

**DEPARTMENT OF TRANSPORTATION**

DES-OE MS #43  
1727 30TH Street, 2ND Floor  
Sacramento, CA 95816



August 29, 2001

04-SF,Ala-80-13.9/14.3,0.0/1.6  
04-012024  
ACIM-080-1(085)8N

Addendum No. 1

Dear Contractor:

This addendum is being issued to the contract for construction on State highway in THE CITY AND COUNTY OF SAN FRANCISCO AND ALAMEDA COUNTY IN SAN FRANCISCO AND OAKLAND FROM 1.3 km EAST OF THE YERBA BUENA ISLAND TUNNEL EAST PORTAL.

Submit bids for this work with the understanding and full consideration of this addendum. The revisions declared in this addendum are an essential part of the contract.

Bids for this work will be opened on November 14, 2001.

This addendum is being issued to revise the Notice to Contractors and Special Provisions.

In the Special Provisions, Section 2-1.05, "SMALL BUSINESS AND DISABLED VETERAN BUSINESS ENTERPRISE UTILIZATION AND REPORTING" is added as follows:

**"2-1.05 SMALL BUSINESS AND DISABLED VETERAN BUSINESS ENTERPRISE UTILIZATION AND REPORTING**

Contractors, subcontractors, suppliers and service providers who qualify are requested to apply for certification as a "Small Business" or a "Disabled Veteran Business Enterprise" by submitting an application to the Department of General Services, Office of Small Business Certification and Resources, 1531 "I" Street, Second Floor, Sacramento, CA 95814 Telephone No. (916) 322-5060.

Attention is directed to the provisions of the Small Business Procurement and Contract Act, Government Code Section 14835 et seq., and Title 2, California Code of Regulations, Section 1896 et seq. regarding certification as a Small Business, and the provisions of Military and Veterans Code Section 999 et seq. and Title 2, California Code of Regulation, Section 1896.60 et seq. regarding certification as a Disabled Veteran Business Enterprise.

By Executive Orders Nos. D-37-01 and D-43-01 the Governor has declared that the policy of the State is to promote the use and participation of Small Businesses and Disabled Veteran Business Enterprises in the State contracting process. The Executive Orders seek pursuit of an annual 25 percent Small Business participation level, and the statutory 3 percent Disabled Veteran Business Enterprise participation level. Because this project involves Federal funding, the State Small Business preference and the State Disabled Veteran Business Enterprise goal do not apply. However, the Department desires to encourage the highest possible participation of Small Businesses and Disabled Veteran Business Enterprises to achieve the goals as stated in the Executive Orders.

It is requested that the Contractor provide, on a quarterly basis, and within 30 days of contract acceptance, reports summarizing the participation of State certified Small Businesses and Disabled Veteran Business Enterprises used in the performance of this contract. To qualify for payment, it is requested that each report include the contract number, Contractor name, business address, business telephone number, and name of person preparing the report, and that the report list payments to each Small Business or Disabled Veteran Business Enterprise by item number, description of work performed and materials provided, business name, Small Business or Disabled Veteran Business Enterprise certification number, amount of payment, date payment was made, and cumulative payment.

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For each report submitted to the Engineer, the Contractor will receive \$2,500. The amount paid for submitting each report shall include full compensation for doing all the work involved in preparing and submitting the report, including accounting, tracking, maintaining, and reporting certified Small Business and Disabled Veteran Business Enterprise use."

To Proposal and Contract book holders:

Indicate receipt of this addendum by filling in the number of this addendum in the space provided on the signature page of the proposal.

Submit bids in the Proposal and Contract book you now possess. Holders who have already mailed their book will be contacted to arrange for the return of their book.

Inform subcontractors and suppliers as necessary.

This office is sending this addendum by confirmed facsimile to all book holders to ensure that each receives it.

If you are not a Proposal and Contract book holder, but request a book to bid on this project, you must comply with the requirements of this letter before submitting your bid.

Sincerely,

ORIGINAL SIGNED BY

REBECCA D. HARNAGEL, Chief  
Plans, Specifications & Estimates Branch  
Office Engineer